



Nigerian Communications Commission

TRADE APPLICATION FORM

(FORM TAF/01)

Application Form

IMPORTANT INFORMATION

The following should be submitted with the completed Application form.

SELLER

1. A copy of the Certificate of Incorporation of the company issued by Corporate Affairs Commission (CAC).
2. Two (2) passport sized photographs each of its Chief Executive Officer (CEO) and Chief Technical Officer (CTO) or their equivalent.
3. A copy of the receipt of payment of fee for Trade Application Form.
4. A copy of its Operational Licence.
5. A copy of the Spectrum Licence document for the trade.

BUYER

1. Copy of the Certificate of Incorporation of the Company issued by Corporate Affairs Commission (CAC).
2. Two (2) passport sized photographs each of CEO and CTO of the company or their equivalent.
3. The Technical details as well as Channel plan(s) of the proposed equipment(s).
4. The EMC Certificate for the proposed equipment(s) or Type Approval Certificate(s) from Nigerian Communications Commission (NCC).
5. A copy of the receipt of the payment of processing fee.
6. Summary of the proposed network plan including diagrams.
7. Technical justification for the Spectrum.
8. A copy of its Operational Licence.
9. A copy (s) of its Spectrum Licence (s).

Section A

Seller (Company) Details

The following details should be provided in full by the Seller

A1. Name:

A2. Address:

A3. Correspondence Address (if different from above):

A4. Contact Person Information:

Name:

Telephone:

E-mail:-

A5. Type of Operational Licence(s) held:

A6. Licence No(s):

A7. Tick below, how the Spectrum to be traded was acquired:

Auction	☐
Administrative	☐

A8. State how long the Spectrum Licence for Trading has been held:

A9. Tick below, the type of Trading proposed:

Transfer:	☐
Leasing:	☐
Sharing:	☐

A10. Complete the Table below with details of utilization of the Spectrum Licence to be traded

Frequency(ies) Assigned (MHz)	Has 25% (Roll-Out Obligations (ROO) been Met		Name of State(s) Covered	% Coverage	Has Up To Date Base Station Deployment Data been Submitted	
	Yes	No			Yes	No

A11. Tick below whether or not you have other Access Spectrum Licences apart from the one to be traded:

Yes	☐
No	☐

If Yes, Complete the details below:

A12. List and Tick how each of the other Spectrum Licence (s) was acquired below:

Auction

☐

Administrative

☐

A14. List and State how long each of the other Spectrum Licences have been held:

A15. Please complete the table below with the details of Spectrum Licences other than the one being traded.

Frequency	In use Yes/NO	If Yes, state area(s)/locations(s) where utilized/served

Buyer (Company) Details

The following details should be provided in full by the Buyer:

A1. Name:

A2. Address:

A3. Correspondence address (if different from above):

A4. Contact Person:

Name:

Telephone:

E-mail:-

A5. Type of Operational Licence(s) held:

A6. Licence No(s):

A7. Please Tick below, the Nature of Trading?

Short-Term Use

☐

Long – Term Use

☐

A8. Please State the number of year(s) to be covered by the trade:

A9. Do you presently hold any Access Spectrum Licence?

Yes

☐

NO

☐

A10. If yes, complete the details in Table below;

Frequency(ies)	Whether In use Yes/NO	If Yes, state area(s)/locations(s) where utilized/served

Section B: Buyer's Equipment/System Information

B1. State intended service coverage area:

B2. Access Technology (e.g. 2G, 3G or 4G LTE, etc.):

B3. Equipment Type:

i. Make/Manufacturer.....

ii. Model

iii. Type

Section C: Description of proposed Spectrum Trading

C1. Describe the Scope of the proposed Spectrum Trade.

C2. Provide an explanation about the current and post-Trade capacity utilization with the appropriate technical information.

C3. Outline network investment plans in relation to the spectrum bands associated with the Trade.

C4. Outline any contractual arrangements made in relation to the Trade.

Section D: Market information

D1. Describe the relevant upstream and downstream markets that may be affected by the usage of the spectrum proposed in this trading.

D2. Provide an estimate of the size of the markets described above, and information on recent entry, any potential entry and cost of entry.

Section E: Views of Buyer / Seller

E1. Provide your views as to why the Trade will not distort competition in markets described by you above.

- E2. Describe the possible efficiencies that may result from the Trade. Quantify these efficiencies and the extent to which consumers would benefit from them.
- E3. Describe measures to ensure the Trade will not increase risk of radio Interferences.

Section F: Supporting Documentation

The following should be enclosed with the application:

- F1. A copy of the most recent audited annual report for each of the Buyer and Seller.
- F2. A copy of any reports or other documentation relating to analysis undertaken on the effect of the Trade on competition.
- F3. A copy of the Trade Agreement between the Buyer and Seller detailing all the terms and the financial conditions of the trade.
- F4. Written Undertaking of compliance with the Spectrum Trading Guidelines as well as Licence Conditions.
- F5. Written undertaking that in the event it is established at any stage in future that there was non-compliance with the Spectrum Trading Guidelines and/or the Licence conditions at the time of approval, the Commission shall have the right to take appropriate action which may include withdrawal of the approval.
- F6. Resolution passed by the approving authority of each of the Seller and the Buyer consenting to the transaction.

Section G: Licence Conditions

- G1. Where either or both the Buyer and Seller consider that an amendment to a condition in the original Licence maybe necessary, or desirable, as a result of the Trade, such necessary amendments should be described in detail with associated reasons.

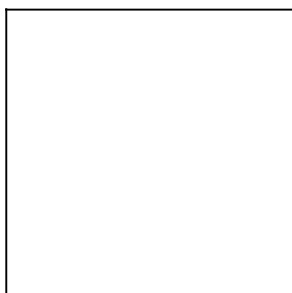
Section H: Declaration

SELLER

We, whose photographs and signatures appear below, hereby declare as follows:

1. That the information supplied in this Application Form is true in all respects and we hereby undertake that we will continue to comply with all the Licence conditions attached to the right of use of the spectrum Licence to be traded. We accept that the Spectrum Trade approval may be revoked and appropriate penalty as stipulated by the Enforcement Processes Regulations 2019 may be applied if it is found that the approval was based on misrepresentation or false information that was furnished to the Commission.
2. That we have submitted all requisite documentation with this application.

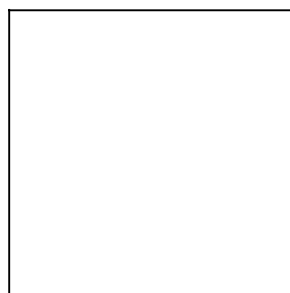
Attach two passport sized photographs each of CEO and CTO, or their equivalent, of the company.



Name:

Designation:

Signature:



Name:

Designation:

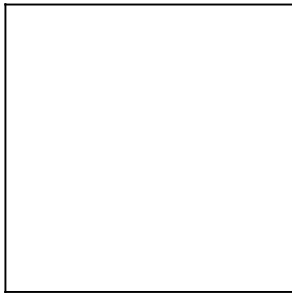
Signature:

BUYER

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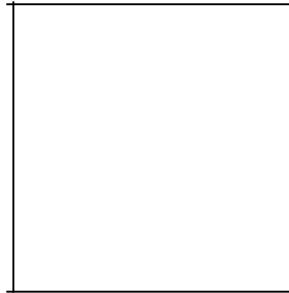
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Name:

Designation:

Signature:



Name:

Designation:

Signature:
